NEVES LICENSING AUTHORITY

Statutory supervisory authority of Neves

Upholding integrity, confidence & disciplined growth.

Established under sovereign statute, the Neves Licensing Authority serves as the **statutory supervisory body** for financial and corporate service providers operating in or from Neves. Through structured authorisation and ongoing supervisory oversight, the Authority's mandate is to safeguard the integrity of the jurisdiction, protect clients and support responsible, long-term growth.

The supervisory framework is informed by international reference points, including the work of **FATF** on AML and CFT, **IOSCO** principles and recognised public-governance standards, adapted to the scale and needs of Neves. This allows legitimate businesses to operate with clarity while maintaining firm expectations around conduct, governance and risk management.

Statutory supervisory mandate | Structured authorisation | Ongoing oversight

Licensing overview (NFSA & NGA) | Read statutory mandate

This portal explains how Neves licences firms, how supervision works in practice and how the public can verify whether an entity is genuinely authorised.

EMBLEM & MOTTO

DISCIPLINA · UNITAS · LABOR

The emblem shows two eagles guarding a central shield and ribbon bearing **Disciplina · Unitas · Labor**. It reflects disciplined conduct, unity of purpose and diligent work in the public interest.

ABOUT NEVES

People, landscape & strategic placement

Neves is a small but outward-looking jurisdiction, combining a close-knit community with access to international markets. The licensing framework is designed to match this scale – not to chase volume, but to build trust.

The town of Neves sits on the coastline of São Tomé and Príncipe, with a mix of working harbour, vibrant local businesses and green hills in the backdrop. The Authority recognises that regulation here does not take place in isolation – it sits alongside everyday life, the local economy and the aspirations of the community.

Licensed firms are expected to respect both their clients and the jurisdiction they operate from. This includes contributing positively to the reputation of Neves, being transparent about their licence status and operating with a long-term view.

LICENSING FRAMEWORK

NFSA & NGA – core licence categories

The Neves Licensing Authority structures its licensing work around two principal categories: NFSA for financial services activity and NGA for registered agents and corporate services.

NFSA – Financial services licence

NFSA authorisation applies to firms that provide **financial or trading services** in or from Neves – for example, brokerage, market access and related activity. Firms must demonstrate suitable owners, fit and proper management, clear risk management and strong client asset arrangements.

- Brokerage and dealing in investments
- Access to trading platforms and infrastructure
- Client asset and safeguarding expectations
- Conduct, disclosure and conflicts-of-interest standards

Read NFSA licensing details

NGA – Registered agents & corporate services

NGA authorisation applies to **registered agents and corporate service providers** that form and maintain Neves legal structures. These firms are central to governance: they manage company records, statutory filings and act as a key AML / CFT gatekeeper.

- Registered office and statutory filings for Neves entities
- Corporate administration and governance support
- Record-keeping and due-diligence obligations
- Ongoing liaison with the Authority

Read NGA licensing details

Supervisory approach in Neves

Once a firm is licensed, it enters a relationship of **ongoing supervision**. This includes reporting, thematic work, on-site and off-site reviews and engagement with boards and senior management where appropriate.

The Authority takes a risk-based and proportionate approach: more complex or higher-risk firms attract closer supervisory attention, while simpler structures are supervised in a way that reflects their scale.

- Risk-based supervision aligned to the profile of each firm
- Focus on governance, culture and client outcomes
- Strong emphasis on AML / CFT and financial crime prevention
- Clear escalation routes for supervisory concerns

International reference points

The Neves framework draws on recognised international standards, notably the work of **FATF** on AML / CFT, **IOSCO** principles and broader public-governance norms. These are adapted to the reality of Neves, rather than copied wholesale, so that expectations are clear and achievable.

This alignment helps legitimate firms explain their Neves authorisation to banks, service providers and clients, while giving the Authority clear tools to respond when behaviour falls below expected standards.

ACTS & LEGAL FRAMEWORK

Sovereign statutes underpinning the Authority

The work of the Neves Licensing Authority is grounded in a series of sovereign Acts that set out its powers, objectives and expectations for licensed entities.

Neves Licensing Authority Act, 2025

Establishes the Authority as a statutory body, sets out its objectives and clarifies its role in authorisation, supervision and enforcement in Neves.

View Act

AML / CFT & Financial Crime Prevention Act, 2025

Sets the baseline requirements for AML / CFT controls, reporting obligations and cooperation with domestic and international partners on financial crime.

View Act

NFSA & NGA Rules and Guidance

Detailed rules and guidance applicable to NFSA and NGA licence holders, including governance, conduct of business, reporting and prudential expectations.

View rules & guidance

Client Protection & Disclosure Code

Sets expectations around fair disclosure, marketing, risk warnings and treatment of retail and professional clients in Neves.

View code

Outsourcing & Third-Party Risk Guidance

Provides guidance on how licensed firms should manage outsourcing, technology providers and other material dependencies.

View guidance

For a full list of Acts, rules and guidance notes, see the dedicated Acts & legal framework page.

Verify if a firm is licensed

Use our online verification tool to confirm whether a firm is on the official list, which licence it holds and its current supervisory status.

Go to verification portal

Registered agents & public registry

Access the directory of approved registered agents and key public information on Neves entities where this is made available in the public interest.

Registered agents | Public registry

Complaints & frequently asked questions

If you have concerns about a Neves-licensed firm or registered agent, you can raise them with the Authority. The FAQ explains what we can and cannot do.

Complaints | Frequently Asked Questions

MANDATE

What the Neves Licensing Authority does

NLA provides a central point for authorisation, licensing oversight and public information concerning activities carried out in or from Neves.

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Licensing

Assessment and authorisation of financial, gaming and

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Oversight

Ongoing oversight of licensed activities, including AML /

corporate service providers.

CFT expectations and conduct standards.

Public registry

Maintenance of public records of licensed entities, IBCs and key notices.

Guidance & notices

Publication of advisories, circulars and guidance for licensees and the public.

Responsible for licensing, supervision and registration of financial and corporate service providers operating in or from Neves.

CONTACT & ADDRESS

Public Complaints Desk, Neves Licensing Authority Cidade de Neves, Avenida Amílcar Cabral, perto do Liceu Nacional - 98, Sao Tome and Principe

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Secure site – SSL encrypted

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This portal helps the Authority understand concerns raised by the public. It does not replace court processes, police reports or independent professional advice.